SATPI Application Form (R1)
Form Preview

Overview - Australia-India Strategic and Technology Policy Initiative (SATPI) - Grant Round 1 2024-25

* indicates a required field

Thank you for your interest in the **Australia-India Strategic and Technology Policy Initiative** grants program (SATPI, the program).

The program objectives are to advance the Australia-India Comprehensive Strategic Partnership (**Objective 1**) and to address shared Australia-India strategic and technology issues and interests in the Indo-Pacific region (**Objective 2**).

The intended outcomes of the program are to advance engagement and understanding between Australia and India on shared strategic and/or technology policy issues by:

- establishing and delivering an annual 1.5 track strategic dialogue between Australia and India (**Outcome 1**);
- developing policy reforms and recommendations (**Outcome 2**);
- enhancing bilateral and regional cooperation between Australia and India (**Outcome 3**);
- deepening linkages between Australian and Indian government and non-government organisations (**Outcome 4**);
- and fostering regional collaboration within the Indo-Pacific (**Outcome 5**).

Funding will be delivered under three streams:

Grant Stream 1 (Flagship activity)

Funding in this stream will comprise a single grant of up to $500,000 per financial year for the establishment and delivery of an annual 1.5 Track Strategic Dialogue between Australia and India (the Dialogue). The Dialogue is intended to include representatives from government, academia, think tanks, the strategic community, industry representatives and policy makers and provide a forum to share perspectives and policy solutions on challenges facing the Indo-Pacific region. The first iteration of the Dialogue is scheduled to take place in 2024.

The successful grant recipient for Grant Stream 1 will be required to establish and deliver the Dialogue in close consultation with the Department of Foreign Affairs and Trade and the Government of India's delivery partner (expected to be the India Council of World Affairs).

Applications for this stream must meet SATPI's **Program Objectives**, and must satisfy **Outcome 1**. Grant applications in Grant Stream 1 may be strengthened by demonstrating how other program outcomes are achieved (**Outcomes 2-5**).

Grant Stream 2 (Major grants) and Grant Stream 3 (Minor grants)

Grant Stream 2 and Grant Stream 3 offer funding for grant activities other than the delivery and establishment of the 1.5 Track Strategic Dialogue. Grant Stream 2 (Major grants) refers to larger grants of between $250,000 to $500,000 per financial year for the grant period, while Grant Stream 3 (Minor grants) refers to smaller grants of between $50,000 to $200,000 per financial year for the grant period.

For Grant Stream 2 and Grant Stream 3, applications must meet SATPI's **Program Objectives**, and must satisfy at least one program outcome (**Outcomes 2-5**). Applications in Grant Stream 2 and Grant Stream 3 may be strengthened by demonstrating how more

than one program outcomes are achieved. Without limiting the scope of potential grant activities, activities for Grant Stream 2 and Grant Stream 3 may include:

- delivering conferences, workshops, training sessions, masterclasses and other events;
- delivering short-term (2-6 weeks) fellowships, residencies, secondments or other scholarship opportunities;
- delivering policy-maker and thought-leader exchanges and visit programs;
- undertaking research and publishing original, well-informed, research and policy recommendation papers; or
- other activities which would advance program objectives and outcomes;

You can access the Grant Opportunity Guidelines for further information. If you have any difficulties with this form please email us at SATPI.Grants@dfat.gov.au.

We wish you well with your application.

The SATPI Grant Round Team

Which Grant Stream are you applying for?

*
☐ Grant Stream 1 - Flagship activity
☐ Grant Stream 2 - Major grants
☐ Grant Stream 3 - Minor grants

Eligibility Criteria

* indicates a required field

To be eligible you must:

- be based in Australia;
- have an Australian Business Number (ABN) or equivalent business registration number;
- be registered for the purposes of GST, if required by the Australian Tax Office;
- have an account with an Australian financial institution; and
- make a declaration that you do not have any outstanding reports, acquittals or serious breaches relating to any Australian Government funding. A serious breach is one that has resulted, or warrants, the termination of an agreement.

and be one of the following entity types:

- a company incorporated in Australia;
- a company incorporated by guarantee;
- a foreign company registered in Australia as per the definition in section 9 of the *Corporations Act 2001*;
- an incorporated trustee on behalf of a trust;
- an incorporated association;

- a partnership;

- a joint (consortia) application with an Australian lead organisation and an Indian partner organisation* (should a joint (consortia) application succeed, the Australian lead organisation will enter into a grant agreement with the Commonwealth, represented by the Department of Foreign Affairs and Trade. Joint (consortia) applications must identify all members of the proposed consortium, and include a signed letter from each proposed member indicating endorsement of the application);
- a not-for-profit organisation;
- a publicly funded research organisation as defined in the Glossary; or
- an Aboriginal and/or Torres Strait Islander Corporation registered under the *Corporations (Aboriginal and Torres Strait Islander) Act 2006*.

To be eligible your grant activity must:

- achieve both of SATPI's program objectives (**Objective 1** and **Objective 2**);
- for Grant Stream 1, achieve **Outcome 1**;
- for Grant Stream 2 and Grant Stream 3, achieve at least one outcome from **Outcomes 2-5**.

The Australian Government recognises that some organisations may seek to form consortia in order to apply for a grant under the Program. Consortia are eligible to apply and the relevant conditions applicable to consortia are at 7.2 'Joint Applications' in the Grant Opportunity Guidelines.

Has your organisation (and if applicable, your consortia partner) been requested to join the National Redress Scheme? *

○ Yes   ○ No

National Redress Scheme If 'yes' please provide details below and we may need to contact you to discuss.

Provide further information *

If yes to the above, has your organisation (and if applicable, your consortia partner) registered with the National Redress Scheme within 6 months of being asked to join? *

○ Yes   ○ No   ○ Not applicable (not on the register)

If 'no' please provide details on why you have not registered below and we may need to contact you to discuss.

Provide further information *

Does your organisation (and if applicable, your consortia partner) comply with the Modern Slavery Act (tick yes or no below)? If 'no' or if your organisation has a statement on the Modern Slavery Statements Register provide details in the box below. *

○ Yes   ○ No

Modern Slavery Register if you do not comply or are listed on the Register we may need to contact you to discuss.

Provide further information *

Do you have any outstanding reports, acquittals or breaches relating to any Australian Government funding? *

○ Yes   ○ No

If 'yes' please provide details below and we may need to contact you to discuss.

Details on outstanding Australian Government funding *

Applicant Details

* indicates a required field

What is the name of the lead applicant making this application? *

Please provide the name of your organisation that is registered on the Australian Business Register

Organisation type *

○ A company incorporated in Australia

○ A partnership

○ A company incorporated by guarantee

○ A not-for-profit organisation

○ An incorporated trustee on behalf of a trust

○ A registered Aboriginal and/or Torres Straight Islander Corporation

○ An Aboriginal and/or Torres Strait Islander Corporation registered under the Corporations (Aboriginal and Torres Strait Islander) Act 2006

○ A foreign company registered in Australia as per the definition in section 9 of the Corporations Act 2001

○ An incorporated association

○ A publicly funded research organisation

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ABN *

The ABN provided will be used to look up the following information. Click Lookup above to check that you have entered the ABN correctly.

Information from the Australian Business Register	
ABN	
Entity name	
ABN status	
Entity type	
Goods & Services Tax (GST)	
DGR Endorsed	
ATO Charity Type	More information
ACNC Registration	
Tax Concessions	
Main business location	

Must be an ABN.

Address *

Address

Address Line 1, Suburb/Town, State/Province, Postcode, and Country are required.

Phone number *

Must be a valid phone number.
including country and regional codes

Email *

Must be an email address.

Website

Must be a URL.

Social Media Handles/ Hashtags

Other Social Media Details

Primary Contact

This contact will receive all correspondence regarding the grant application.

Name *

Title   First Name   Last Name

Position *

Phone *

Must be a valid phone number.

Email *

Must be an email address.

Activity Details - Assessment Criteria - Risk Assessment

* indicates a required field

Research Description

What is the Activity Title? *

What is the start date of the activity? *

Must be a date.

What is the completion date of the activity? *

Must be a date.

What is the amount of DFAT funding sought? *

$

Must be a dollar amount.

Which of the SATPI's five outcomes does your activity best align with? *

☐ Establishing and delivering an annual 1.5 track strategic dialogue between Australia and India (Outcome 1)

☐ Developing policy reforms and recommendations (Outcome 2)

☐ Enhancing bilateral and regional cooperation between Australia and India (Outcome 3)

☐ Deepening linkages between Australian and Indian government and non-government organisations (Outcome 4)

☐ Fostering regional collaboration within the Indo-Pacific (Outcome 5)

Summary of the proposed activity *

Word count:
Must be no more than 150 words.

Activity Location

Indicate the PRIMARY location where the research will take place:

Primary Location *

○ ACT ○ SA ○ WA
○ NSW ○ TAS ○ India
○ NT ○ VIC ○ Australia and India
○ QLD

Further Location Detail *

Provide more specific details on activity location/s.

Addressing the 3 Assessment Criteria

You must address all the following assessment criteria in the application.

All 3 criteria have equal weighting.

The amount of detail and supporting evidence you provide in your application should be relative to the size, complexity and grant amount requested.

We will only award funding to applications that score highly against all assessment criteria.

Your answers will be limited to 750 words per criterion.

Assessment Criterion 1 - Description of grant activity

Describe the activity, including how it will be delivered efficiently and effectively.

You must demonstrate this through:

- providing details of the proposed grant activity, including its thematic focus, key deliverables, milestones, outputs and outcomes;
- providing details of how, when and where the proposed grant activity will be delivered, and how implementation of project design and management plans will result in efficient and effective delivery; and
- demonstrating the extent to which the proposed grant activity is cost-effective.

Where an application proposes a joint (consortia) arrangement, the application should also address the role of the partner organisation in delivering the proposed activity.

*

Word count:
Must be no more than 750 words.

Assessment Criterion 2 - Achievement of program objectives and outcomes

Describe how the grant activity achieves SATPI's program objectives and outcomes.

You must demonstrate this through:

- articulating the proposed grant activity's significance and relevance;
- evidencing how the proposed grant activity achieves **Objective 1** and *Objective 2*; and
- evidencing how the proposed grant activity achieves program *Outcomes* (as applicable to the particular Grant Stream).

Where an application proposes a joint (consortia) arrangement, the application should also address the role of the partner organisation in achieving SATPI's program objectives and outcomes.

*

Word count:
Must be no more than 750 words.

Assessment Criterion 3 - Capability and capacity

Describe prior experience, qualifications, skills, expertise and demonstrate capability to deliver the grant activity to a high standard.

You should demonstrate this through:

- outlining your experience and expertise in Australia-India strategic and/or technology policy issues;
- outlining your past experience delivering similar activities, explaining the outcome of those activities and describing how it relates to the proposed grant activity;
- outlining the skills, capability, experience and availability of nominated key personnel proposed to deliver the grant activity **[you may attach CVs to meet this requirement]**;
- outlining the resources available and project management systems and governance frameworks in place to deliver the grant activity successfully; and
- outlining monitoring and evaluation procedures to measure the effectiveness of the grant activity, including the achievement of program objectives and outcomes.

Where an application proposes a joint (consortia) arrangement, the application should also address each of the three sub-criteria for the partner organisation and provide a letter of support.

*

Word count:

Must be no more than 750 words.

For joint (Consortia) applications, please upload your letter of support

Attach a file:

Upload relevant CVs

Attach a file:

Risk Management Planning

In this section you will outline your risk management strategies and planning and how identified risks will be mitigated.

To assist you may use the template provided here SATPI Risk Register or alternatively outline your risk management strategies in the box below. If using the template please fill in the box below with "refer to uploaded template".

*

Word count:
Must be no more than 400 words.

Upload your Risk Register

Attach a file:

Joint or Lead Consortia Partner

Will this activity be undertaken with joint consortia partner/lead organisation? *
☐ Yes
☐ No

If 'Yes' to the above, please provide details including names of joint or lead consortium partners.

Joint or Lead Consortium Partner Name	Details	Letter of Support

Activity Management Plan

Proposed Activity Timeline

Please provide a timeline of the major stages involved in delivering your research activity. Add more rows as required.

Activity	Anticipated Start Date	Anticipated End Date	Explanatory notes if applicable
	Must be a date.	Must be a date.	

Management Plan

Upload your high-level management plan, if applicable.
Attach a file:

Budget Section

* indicates a required field

Budget Instructions

- In the Budgeted Income section, please list all contributors to your project, including contributions by the entity seeking funding (A) and any other contributions by third parties (B). Please include in the comment section an indication of whether each source of funding is confirmed, conditional or pending approval.
- All in-kind contributions should be included and quantified in either section B (if from your entity) or section C (if from a third party).
- The Total Grant Amount section is automated, and it is the sum of the grant program funding sought from the SATPI Program (A), contribution by the entity seeking funding (B), and contributions from third parties (C).
- In the Budgeted Expenditure section, please identify each expenditure clearly.
- The Budget Totals section is automated, it is the sum of total expenditure amount. This should equal the same as the total income (sum of A+B+C).

Notes on goods and services tax (GST): Please provide budget figure amounts exclusive of GST.

Please refer to the Grant Opportunity Guidelines for clarification.

A. SATPI Program Grant Sought

A. SATPI Program funding sought *

$
Must be a dollar amount.
This amount is total SATPI Grant amount sought and and does not include co-contributions from your institution or partner institutions.

B. Joint or Lead Consortia Partner Contributuions

Will you receive joint or lead consortia partner financial or in-kind support? *   ○ Yes   ○ No

Income - joint or lead consortia or in-kind support	Amount ($)	Status	Comments (to be completed by the Applicant)
	Must be a dollar amount.		
	$		

C. Additional Financial Contributions or In-kind Support

Will any other financial contributions or in-kind support be provided from other sources? *   ○ Yes   ○ No

Other Income can include Grants from Australian Federal Government, State Government, Local Government, etc and other income like cash, in-kind, etc.

Other Income	AUD $	Status	Comments (to be completed by Applicant)
	Must be a dollar amount.		
	$		

Total Activity Amount

A+B+C above

Total Activity Amount * $

This number/amount is calculated.

Budgeted Expenditure

Itemise your activity budget in the table below.

Include in the notes section any in-kind, third party or SATPI Program funding for each activity item. Add more rows as required.

Activity description	Basis of Calculation	SATPI Funded	Joint or Lead Consortia Partner Funded	Other Source Funding	Total	Notes
	Please itemise	Must be a dollar amount.	Must be a dollar amount.	Must be a dollar amount.	This number/amount is calculated.	
		$	$	$	$	

Total Budgeted Expenditure

Total SATPI Funded Expenditure	Total Joint or Lead Consortia Partner Funded Expenditure	Total Other Source Funding Expenditure	Total Expenditure Amount *
$	$	$	$
This number/amount is calculated.	This number/amount is calculated.	This number/amount is calculated.	This number/amount is calculated.

Balanced Budget Check

'Total Activity Amount' must be equal to 'Total Expenditure Amount' *

$

This number/amount is calculated.
Must be equal to 0

Please upload your project budget plan here. *

Attach a file:

Previous Funding

Have you or your organisation previously received grant funding from the Commonwealth Government of Australia? *

○ Yes   ○ No

Provide details of relevant funding received, including the amount and year.

Note: if, for example, you are applying on behalf of a university that receives numerous unrelated grants, you do not need to list those here.

Commonwealth funding source	Year awarded	Amount
		Must be a dollar amount.

Other Commonwealth Funding

Is your proposed activity seeking or receiving other Commonwealth funds for current or future financial years? *

○ Yes   ○ No

Provide details, including the amount and year awarded.

Commonwealth funding source	Year awarded	Amount
Must be no more than 200 words.		Must be a dollar amount.

Conflict of Interest

* indicates a required field

Please advise if you, your organisations personnel or any person or entity connected to your application;

- Has a professional, commercial or personal relationship with a party who is able to influence the application process?
- Has a relationship with an organisation or foreign government?
- Has a relationship with, or interest in, an organisation from which they may receive or be perceived to receive personal gain from this grant funding?

To the best of your knowledge, are there any potential, perceived or actual conflicts of interest relating to this application? *

○ Yes   ○ No

Provide details *

Declaration

* indicates a required field

Working with Children or other Vulnerable Persons

Successful grantees will be asked to complete a Working with Vulnerable Persons Statement of Compliance as part of the Standard Grant Agreement.

The Commonwealth considers a child to be a person under the age of 18 years.

Will your project involve working with children and/or other vulnerable persons? *

○ Yes   ○ No

Confirmation and Declaration

Privacy notice about the collection, use and disclosure of personal information

The personal information you provide in this report is collected by the Department of Foreign Affairs and Trade (DFAT) to financially acquit, evaluate and report on the outcomes of your grant in accordance with Commonwealth Grants Rules and Guidelines. Without this information, we may be unable to finalise your grant payment.

We collect any photographs and audio/visual materials you provide to promote and publicise the work of DFAT. These materials may be made public through national and local media, annual reports or via the DFAT website and other social media.

Our privacy policy is available at www.dfat.gov.au/privacy.html and contains information about access to, or correction of, your personal information, and how you may complain about a breach of your privacy and how we deal with complaints. Grantees/recipients should also note the provisions of the *Freedom of Information Act 1982* apply to documents in DFAT's possession.

I, certify that:I have read and understand the above Privacy notice.

Overseas disclosure:

- I understand that information provided in this report, including photographs and audio-visual materials, may be used for publicity purposes.
- By providing information to DFAT, I consent to the publication of my personal information to overseas entities or on the internet. I acknowledge that if other recipients breach the Australian Privacy Principles, DFAT will not be accountable under the Privacy Act and that I will not be able to seek redress under the Privacy Act.

Information about other people

- I have sought the consent of any individual whose personal information I have included in the report (including collaborators and activity partners), to provide their personal information to DFAT to collect, use and disclose in accordance with this Privacy notice.
- I have obtained written consent from each photographed person, where required.

Use and disclosure for secondary purposes

- I consent to information provided in this application being stored on a central database to which other Commonwealth and State or Territory government agencies may have access for assessment or similar purposes.
- I consent to information provided in this acquittal, other than personal information, being used for training and testing purposes by DFAT staff.

Grant activity

- The statements in this report are true to the best of my knowledge.
- The grant is being used/was used in accordance with the approved budget as detailed in the Funding Agreement/Deed.

- The above financial statement presents fairly the financial transactions in relation to the SATPI grant received from DFAT (if financial acquittal).

If you have a grant amount that needs to be repaid:

- I understand that DFAT will send an invoice to the organisation funded to recover any unspent SATPI funding.
- I confirm that original receipts and other documentation which account for the expenditure will be retained for at least five years from the date of this form and will be available upon request.

Declaration * ○ Yes, I have read and agree to the above conditions.

Date of Declaration *

Must be a date.

Name *

Title	First Name	Last Name

Position and Title *

If you are applying as an individual, state "individual applicant".

Statistical Information

* indicates a required field

Where did you hear about the SATPI Program? *

☐ Australian Embassy/Consulate
☐ Social Media - Facebook, Instagram, Twitter, etc
☐ DFAT State or Territory Offices
☐ SATPI Webpage
☐ GrantConnect
☐ Other:
☐ Minister Media Release

Do you have any feedback regarding the application process which may be used to improve future grant rounds? (Note: any feedback will be treated confidentially and will not affect the assessment of your application).